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 JC966 U.S. PTO

TRANSMITTAL OF UTILITY PATENT APPLICATION FOR FILING

Certification under 37 CFR 1.10 (if applicable)

JC966 U.S. PTO
 09/972812
 10/05/01

EL 740388256 US

October 5, 2001

Express Mail" mailing label number

Date of Deposit

I hereby certify that this Transmittal letter, enclosed application, and any other documents referred to as enclosed herein, are being deposited in an envelope with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and addressed to the Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

Cederic Rodgers

(Typed or printed name of person mailing application)

Cederic Rodgers

(Signature of person mailing application)

COMMISSIONER FOR PATENTS
 BOX PATENT APPLICATION
 Washington, D.C. 20231

Attorney Docket No. CMS-012

Customer Number 002387

Sir:

Transmitted herewith for filing is the utility patent application of inventor(s): **Thomas Clement Barthel, Scott Allen Sundet, Craig Louis Riedl and Dinh Thuc Ha**

and entitled: **Endoscope With Flexible Light Guide Having Offset Distal End**

1. Type Of Application

This application is:

- ☒ an original (nonprovisional) application.
☐ a divisional of prior application Serial No. _____
☐ a continuation of prior application Serial No. _____
☐ a continuation-in-part of prior application Serial No. _____

☐ The entire disclosure of the prior application is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

☐ The prior application is assigned of record to: _____

☐ Additional prior application information: Examiner _____ Group _____

2. Enclosed Application Elements are:

- ☒ A duplicate copy of this transmittal letter,
☒ specification (including claims and abstract) containing pages 1-19,
☒ drawings: ☐ 1 copy of _____ sheet(s) of formal drawings, OR
☒ 1 copy of two (2) sheet(s) of informal drawings, Figures 1-5.
☐ an executed declaration or oath for the utility patent application including a power of attorney, OR
☒ an unexecuted declaration or oath for the utility patent application including a power of attorney, OR
☐ a copy of an executed declaration or oath including power of attorney from a priority application,
☐ statement deleting inventor(s) named in the priority application
☐ Microfiche Computer Program
☐ nucleotide and/or amino acid sequence
 a. ☐ computer readable copy
 b. ☐ paper copy
 c. ☐ statement verifying above copies

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3. Enclosed Accompanying Application Parts are:

- ☐ Preliminary Amendment
☐ Claim cancellations are indicated in Preliminary Amendment
☒ one itemized, stamped, and self-addressed postcard for the PTO Mail Room date stamp.
☐ English translation document
☐ Information Disclosure Statement including Form PTO-1449 and copies of the citations therein.

4. Small entity status

- ☒ Applicant claims small entity status. See 37 CFR 1.27.

5. Filing Fees (as calculated below)

	(Col. 1)	(Col. 2)		
For:	Number Filed	Number Extra	Rate	Fee
Basic Fee				\$ 740
Total Claims	42 — 20	= 12	x \$ 18 =	\$ 216
Independent Claims	7 — 3	= 4	x \$ 84 =	\$ 336
Multiple Dependent Claim Presented (if applicable)			+ \$280 =	\$
Subtotal				\$ 1292
Reduction by 50% for filing by small entity				646
TOTAL				\$ 646

* If the difference in Col. 1 is less than zero, enter "0" in Col. 2.

- ☐ Please charge my Deposit Account No. 15-0508 in the amount of \$_____.
☐ A check in the amount of \$_____ to cover the filing fee is enclosed.
☒ A check in the amount of \$646.00 to cover the filing fee will be submitted in Response to the Notice of Missing Parts.
☒ The Commissioner is authorized to charge payment of the following amounts associated with this communication or credit any overpayment to Deposit Account No. 15-0508:
☒ Additional filing fees under 37 CFR 1.16 or deficiencies in remittances therefor.
☒ Additional processing fees under 37 CFR 1.17 or deficiencies in remittances therefor.
☒ **ONLY if applicant has partially paid** the patent issue fee under 37 C.F.R. §1.18, then the **deficiency** shall be charged to Deposit Account No. 15-0508, and the Commissioner is authorized to so charge the Deposit Account.

Date: October 5, 2001

Attorney's Signature

Arne M. Olson (Reg. No. 30,203)

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